

## Characterization of symmetric extensions of a valuation on a field $K$ to $K(X_1, \dots, X_n)$

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**Abstract** - This paper deals with the characterization of the symmetric valuations on  $K(X_1, \dots, X_n)$ . Notions as ultrasymmetric extensions and symmetrically-open extensions are defined. Sufficient conditions for extending the symmetry of a valuation are discussed. The main results are a closed-form expression of the r.t.s.-extensions and a complete classification of the symmetrically-open extensions.

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### 1. Introduction

The classification of the extensions of a valuation, from  $K$  to  $K(X_1, \dots, X_n)$  (for  $n \geq 2$ ), is still an open problem in algebra, even if the extensions from  $K$  to  $K(X)$  have been completely analyzed and described in [4, 7, 10] and [9]. The reason for this is the fact that, when getting with analysis to the second indeterminate ( $X_2$ ), one has to face the algebraic closure of the field  $K(X_1)$ , which raises difficult issues in the domain of algebraic geometry (algebraic functions of one or several indeterminates).

In the paper [11], by the same author, it has been defined a special class of extensions of a valuation from  $K$  to  $K(X_1, \dots, X_n)$ , called *symmetrical valuations*, which treats in an undifferentiated way the  $n$  indeterminates and, thanks to this property, allows an analysis that avoids the barrier mentioned above. The main result of that paper was the definition and characterization of the *r.t.s.-extensions*, which will play a crucial role in this study.

This paper continues the work started in [11] by defining the notions of *ultrasymmetry* and *symmetrically-openness*, obtaining a complete classification of the r.t.s.-extensions, discussing the extension of the symmetry to an algebraic closure and finally, using all these, giving a complete classification of the symmetrically-open extensions.

## 2. General notations and definitions

Let  $K$  be a field and  $v$  a valuation on  $K$ . We will write this pair  $(K, v)$ . We will denote by  $k_v$  the residue field, by  $G_v$  the value group, by  $O_v$  the valuation ring and by  $M_v$  the maximal ideal of  $v$ . We will also denote by  $\rho_v : O_v \rightarrow k_v$  the residual homeomorphism. For  $x \in O_v$  we denote by  $x^* = \rho_v(x)$ , its image in  $k_v$ .

Given  $u$  and  $u'$  two valuations on  $K$ , we will say that  $u$  is equivalent to  $u'$  and write  $u \cong u'$ , if there exists an isomorphism of order groups  $j : G_u \rightarrow G_{u'}$  such that  $u' = ju$ .

Let  $K'/K$  be an extension of fields. We will call a valuation  $v'$  on  $K'$  an extension of  $v$  if  $v'(x) = v(x)$  for all  $x$  in  $K$ . If  $v'$  is an extension of  $v$  we will canonically identify  $k_{v'}$  with a subfield of  $k_v$  and  $G_{v'}$  with a subgroup of  $G_v$ .

Let  $(K, v)$  be a valued field. If we choose  $\bar{K}$  an algebraic closure of  $K$  and  $\bar{v}$  an extension of  $v$  to  $\bar{K}$ , then the residual field of  $\bar{v}$  will be, in fact, an algebraic closure of  $k_v$  and the value group of  $\bar{v}$  will be  $\mathbf{Q}G_v$ , namely the smallest divisible group that contains  $G_v$ .

We denote by  $K(X)$  the field of rational fractions of an indeterminate  $X$  over  $K$  and with  $K[X]$  the ring of polynomials of an indeterminate  $X$  over  $K$ .

Let  $u$  be an extension of  $v$  to  $K(X)$ . We will say that  $u$  is a residual-transcendental extension (*r.t.-extension*) if  $k_u/k_v$  is a transcendental extension of fields. When not, but we still have  $G_u \subseteq \mathbf{Q}G_v$ , we will say that  $u$  is a residual-algebraic torsion extension (*r.a.t.-extension*) and when  $G_u \not\subseteq \mathbf{Q}G_v$ , we will say that  $u$  is a residual-algebraic free extension (*r.a.f.-extension*). Additional information to this classification may be found in [4].

In [11] a *symmetric valuation* (with respect to  $X_1, \dots, X_n$ ) was defined as a valuation  $w$  on  $K(X_1, \dots, X_n)$ ,  $n \geq 2$ , such that, given any permutation  $\pi$  of  $\{1, 2, \dots, n\}$  and any  $f \in K(X_1, \dots, X_n)$ , we have

$$w(f(X_1, X_2, \dots, X_n)) = w(f(X_{\pi(1)}, X_{\pi(2)}, \dots, X_{\pi(n)})).$$

In this case we denote by  $\pi f(X_1, X_2, \dots, X_n) = f(X_{\pi(1)}, X_{\pi(2)}, \dots, X_{\pi(n)})$ , the automorphism  $f \rightarrow \pi f$  of  $K(X_1, \dots, X_n)$  that leaves the symmetric fractions of polynomials in  $K(X_1, \dots, X_n)$  unchanged.

Let  $w$  be a symmetric valuation on  $K(X_1, \dots, X_n)$ . Let  $\overline{K(X_1, \dots, X_n)}$  be an algebraic closure of  $K(X_1, \dots, X_n)$  and  $\bar{w}$  an extension of  $w$  from  $K(X_1, \dots, X_n)$  to  $\overline{K(X_1, \dots, X_n)}$ .

We say that  $\bar{w}$  *extends the symmetry* of  $w$  if, for any partition of  $\{1, 2, \dots, n\} = \{i_1, i_2, \dots, i_m\} \cup \{j_1, j_2, \dots, j_{n-m}\}$ , with  $0 \leq m < n$ , the restriction of  $\bar{w}$  to  $\overline{K(X_{i_1}, \dots, X_{i_m})(X_{j_1}, \dots, X_{j_{n-m}})}$  is symmetric with respect to  $X_{j_1}, \dots, X_{j_{n-m}}$ , where  $\overline{K(X_{i_1}, \dots, X_{i_m})}$  is the closure of  $K(X_{i_1}, \dots, X_{i_m})$

in  $\overline{K(X_1, \dots, X_n)}$ . For such an extension we denote by:

$$\begin{aligned} \delta_a &:= \bar{w}(X - a), \text{ for any } a \in \bar{K}, \text{ where } X \text{ is arbitrarily} \\ &\quad \text{chosen from } X_1, \dots, X_n; \\ \mathcal{M}_{\bar{w}} &:= \{\delta_a/a \in \bar{K}\}; \end{aligned}$$

and for any  $i$ , such that  $0 \leq i \leq n$ , we denote by:

$$\begin{aligned} K_i &:= K(X_1, \dots, X_i), \text{ with the convention } K_0 = K; \\ u_i &:= \text{the restriction of } w \text{ to } K_i, \text{ with the conventions} \\ &\quad u_0 = v, u_n = w; \\ O_i, G_i, \text{ resp. } k_i &:= \text{the valuation ring, the valuation group,} \\ &\quad \text{resp. residual field of } u_i; \\ \mathcal{M}_i &:= \left\{ \bar{w}(X_i - \rho)/\rho \in \overline{K(X_1, \dots, X_{i-1})} \right\}, \text{ for } i \geq 1. \end{aligned}$$

We call the *freedom degree* of the extension  $w$  (with respect to  $v$ ) the quantity

$$\text{freedeg } w = \text{card}\{i \in \{1, \dots, n\} / G_i \cap \mathbf{Q}G_{i-1} \neq G_i\}.$$

and we notice, due to [4], that  $\text{freedeg } w$  represents the number of intermediate extensions from  $v$  on  $K$  to  $w$  on  $K(X_1, \dots, X_n)$  that are residual-algebraic free and this number is independent on the order the indeterminates  $X_1, \dots, X_n$  are taken into account.

Following [11, Theorem 4.3 and Corollary 4.4], we have several equivalent definitions for a *residual-transcendental simple* extension (*r.t.s.-extension*), when speaking about a symmetric extension  $w$ , of  $v$  from  $K$  to  $K(X_1, \dots, X_n)$ , a fixed algebraic closure  $\overline{K(X_1, \dots, X_n)}$  and  $\bar{w}$  an extension of  $w$  from  $K(X_1, \dots, X_n)$  to  $\overline{K(X_1, \dots, X_n)}$  that extends the symmetry of  $w$ ; namely, we say that  $w$  is residual-transcendental simple if and only if any of the following conditions is ensured:

(2.1)  $u_1$  is a r.t.-extension of  $v$  to  $K_1$  and  $\chi_1, \chi_2, \dots, \chi_n$  are algebraically independent over  $k_v$ , where, for all  $i$ ,  $\chi_i$  is a generator of the transcendence of the residue field of  $w|_{K(X_i)}$ ;

(2.2)  $\text{tr.deg}(k_w : k_v) = n$  and  $\chi_1, \chi_2, \dots, \chi_n$  are algebraically independent over  $k_v$ , where, for all  $i$ ,  $\chi_i$  is a generator of the transcendence of the residue field of  $w|_{K(X_i)}$ ;

(2.3)  $\text{freedeg}(w) = 0$  and  $\sup \mathcal{M}_n$  exists and is contained in  $\mathcal{M}_1$ ;

(2.4) there exists  $a \in \bar{K}$  and  $\delta \in \mathbf{Q}G_v$  such that, for any  $F \in \bar{K}[X_1, \dots, X_n]$  written as  $F = \sum_{(i_1, \dots, i_n) \in I} a_{i_1, \dots, i_n} \cdot (X_1 - a)^{i_1} \cdot (X_2 - a)^{i_2} \cdot \dots \cdot (X_n - a)^{i_n}$ ,

with  $I$  a finite set of  $n$ -tuples of indices, we get

$$\bar{w}(F) = \inf_{(i_1, \dots, i_n) \in I} (\bar{v}(a_{i_1, \dots, i_n}) + (i_1 + \dots + i_n) \cdot \delta).$$

(2.5) there exists  $a \in \bar{K}$  and  $\delta \in \mathbf{Q}G_v$  such that the following two conditions are satisfied:

- (i)  $w(X_i - X_1) = \delta$ , for all  $i \in \{2, \dots, n\}$ ;
- (ii) when we denote:

$$\begin{aligned} g &\in K[X] \text{ the minimal monic polynomial of } a; \\ v' &\text{ an extension of } v \text{ la } K(a); \\ \gamma &:= \sum_{\substack{a' \in \bar{K} \\ g(a')=0}} \inf(\delta, v'(a' - a)); \end{aligned}$$

then for any  $F \in K[X_1, \dots, X_n]$  written as:

$$F = \sum_{(i_1, \dots, i_n) \in I} f_{i_1, \dots, i_n}(X_1) \cdot g(X_1)^{i_1} \cdot (X_2 - X_1)^{i_2} \cdot \dots \cdot (X_n - X_1)^{i_n}$$

with  $\deg f_{i_1, \dots, i_n} < \deg g$  and  $I$  a finite set of  $n$ -tuples of indices, we get:

$$w(F) = \inf_{(i_1, \dots, i_n) \in I} (v'(f_{i_1, \dots, i_n}(a)) + i_1 \cdot \gamma + (i_2 + \dots + i_n) \cdot \delta).$$

For  $n = 1$  we will consider any extension as being, trivially, a r.t.s.-extension.

With the following additional notations:

- $e = e(\gamma, K(a))$ , the smallest positive integer such that  $e \cdot \gamma \in G_v$ ;
- $h \in K[X]$  such that  $\deg h < \deg g$  and  $v'(h(a)) = e \cdot \gamma$  ( $X$  is here generic);
- $r_i = g(X_i)^e / h(X_i)$ , which is an element  $K(X_i)$ ;
- $\chi_i = r_i^*$ , the class  $r_i$  within the residue field of  $w|_{K(X_i)}$ ;

we get, from [11, Corollary 4.5], that:

$$\begin{aligned} G_n &= G_{v'} + \mathbf{Z}\gamma \subseteq \mathbf{Q}G_v; \\ k_n &= k_{v'}(\chi_1, \dots, \chi_n). \end{aligned}$$

### 3. Characterization of r.t.s.-extension

Before discussing about the r.t.s.-extensions, we will analyze a simple type of symmetric extensions namely the Gaussian valuation  $w$ , which extends an arbitrary valuation  $v$  from  $K$  to  $K(X_1, \dots, X_n)$  in such a way that, for  $F \in K[X_1, \dots, X_n]$  written as

$$F = \sum_{(i_1, \dots, i_n) \in I} a_{i_1, \dots, i_n} \cdot X_1^{i_1} \cdot \dots \cdot X_n^{i_n}, \text{ with } a_{i_1, \dots, i_n} \in K$$

where  $I$  is a finite set of  $n$ -uples of indices, we get:

$$w(F) = \inf_{(i_1, \dots, i_n) \in I} (v(a_{i_1, \dots, i_n})).$$

**Proposition 3.1.** *The Gaussian valuation  $w$ , that extends an arbitrary valuation  $v$  from  $K$  to  $K(X_1, \dots, X_n)$  has the following properties:*

(P3.1.1)  *$w$  is symmetric and  $w = 0$ ;*

(P3.1.2)  *$w$  is trivial if and only if  $v$  is trivial;*

(P3.1.3) *The restriction  $w^e$  of  $w$  to  $K(e_1^{(n)}, \dots, e_n^{(n)})$  is also Gaussian so it is itself symmetric and isomorphic with  $w$ , as extensions of  $v$  to two isomorphic fields.*

**Proof.** Statements (P3.1.1) and (P3.1.2) are obvious, so we will take care only of (P3.1.3).

Indeed, if we wrote the same symmetric polynomial in the two fields:

$$\begin{aligned} F^e(e_1^{(n)}, \dots, e_n^{(n)}) &= \sum_{(i_1, \dots, i_n) \in I} a_{i_1, i_2, \dots, i_n} (e_1^{(n)})^{i_1} \cdot \dots \cdot (e_n^{(n)})^{i_n} \\ &= \sum_{(j_1, \dots, j_n) \in J} b_{j_1, j_2, \dots, j_n} X_1^{j_1} \cdot \dots \cdot X_n^{j_n} \\ &= F(X_1, \dots, X_n) \end{aligned}$$

then each  $a_{i_1, i_2, \dots, i_n}$  is a linear combination of  $b_{j_1, j_2, \dots, j_n}$ , weighted by integer values, but also reversely, so we have:

$$\begin{aligned} w^e(F^e) &\geq \inf_{(j_1, \dots, j_n) \in J} (v(b_{j_1, j_2, \dots, j_n})) = w(F) \\ &\geq \inf_{(i_1, \dots, i_n) \in I} (v(a_{i_1, i_2, \dots, i_n})) = w^e(F^e) \end{aligned}$$

therefore  $w^e(F^e)$  is the Gaussian valuation on  $K(e_1^{(n)}, \dots, e_n^{(n)})$ , which extends  $K$ .  $\square$

Now we can move on to the r.t.s.-extensions, which appear as a generalization of the Gaussian ones. However, before a complete characterization of these, we need two preliminary results.

**Lemma 3.1.** *An extension  $w$  on  $K(X_1, \dots, X_n)$  of a valuation  $v$  on  $K$ , with  $n \geq 2$ , is symmetric if and only if, for each  $i$  with  $1 \leq i \leq n-1$ ,  $w$  is symmetric with respect to  $X_i, X_n$ .*

**Proof.** “ $\Rightarrow$ ”: The assertion is obvious.

“ $\Leftarrow$ ”: For  $n = 2$  the statement is also obvious. Therefore, let’s consider  $n > 2$ . Let  $\pi$  be a permutation of the set  $\{1, 2, \dots, n\}$ . By denoting with  $\pi_{ij}$  the inversions (when  $i \neq j$ ) or the identity (when  $i = j$ ), we may write

$$\pi = \underset{\substack{i=1 \\ j_i > i}}{\overset{n-1}{\circ}}(\pi_{i,j_i}) = \underset{\substack{i=1 \\ j_i > i}}{\overset{n-1}{\circ}}(\pi_{n,i} \circ \pi_{n,j_i} \circ \pi_{n,i}) = \underset{k=1}{\overset{3(n-1)}{\circ}}(\pi_{n,i_k})$$

with  $\{j_i\}$  and  $\{i_k\}$  two arrays of indices conveniently chosen. From the hypothesis we know that, for each  $i$  and any  $f \in K(X_1, \dots, X_n)$ , we have  $w(f) = w(\pi_{n,i}f)$ . We conclude that:

$$\begin{aligned} w(\pi f) &= w\left(\left(\underset{k=1}{\overset{3(n-1)}{\circ}} \pi_{n,i_k}\right) f\right) = w\left(\pi_{n,i_1} \left(\left(\underset{k=2}{\overset{3(n-1)}{\circ}} \pi_{n,i_k}\right)\right)\right) \\ &= w\left(\left(\underset{k=2}{\overset{3(n-1)}{\circ}} \pi_{n,i_k}\right) f\right) = \dots = w(f) \end{aligned}$$

□

**Proposition 3.2.** *Let  $w$  be an extension of  $v$  from  $K$  to  $K(X_1, \dots, X_n)$  such that there exist  $a \in \bar{K}$  and two values  $\delta, \epsilon \in \mathbf{Q}G_v$  with  $\delta \leq \epsilon$ , ensuring the following three conditions*

- i)  $(a, \delta)$  is a minimal pair of definition with respect to  $K$  and  $v$ ;*
- ii)  $w(X_i - X_1) = \epsilon$ , for each  $i \in \{2, \dots, n\}$ ;*
- iii) when we denote by:*

*$g \in K[X]$  the minimal monic polynomial of  $a$ ;*

*$v'$ —extension of  $v$  to  $K(a)$ ;*

$$\gamma := \sum_{\substack{a' \in \bar{K} \\ g(a')=0}} \inf(\delta, v'(a' - a));$$

*we have that, for all  $F \in K[X_1, \dots, X_n]$  written as:*

$$F = \sum_{(i_1, \dots, i_n) \in I} f_{i_1, \dots, i_n}(X_1)^{i_1} \cdot g(X_1)^{i_1} \cdot (X_2 - X_1)^{i_2} \cdot \dots \cdot (X_n - X_1)^{i_n},$$

*with  $\deg f_{i_1, \dots, i_n} < \deg g$  and  $I$  a finite set of  $n$ -tuples of indices, we get:*

$$w(F) = \inf_{(i_1, \dots, i_n) \in I} (v'(f_{i_1, \dots, i_n}(a)) + i_1 \cdot \gamma + (i_2 + \dots + i_n) \cdot \epsilon).$$

In these circumstances,  $w$  is a symmetric valuation on  $K(X_1, \dots, X_n)$  and, given  $\overline{K(X_1, \dots, X_n)}$  an algebraic closure of  $K(X_1, \dots, X_n)$  and  $\bar{w}$  an extension of  $w$  from  $K(X_1, \dots, X_n)$  to  $\overline{K(X_1, \dots, X_n)}$ ,  $\bar{w}$  extends the symmetry of  $w$ .

**Proof.** Let's prove, first, that  $w$  is symmetric. According to Lemma 3.1, in order to prove that  $w$  is symmetric it is enough to show that  $w$  is symmetric with respect to  $X_1, X_n$ , because for the rest of the pairs this fact is obvious.

Let, therefore,  $F \in K[X_1, \dots, X_n]$  written as in iii), but let's put

$$g_{i_2, \dots, i_n}(X_1) = \sum_{i_1 \text{ such that } (i_1, \dots, i_n) \in I} f_{i_1, \dots, i_n}(X_1) \cdot g(X_1)^{i_1}.$$

so  $F$  becomes:

$$F = \sum_{(\bullet, i_2, \dots, i_n) \in I} g_{i_2, \dots, i_{n-1}, i_n}(X_1) \cdot (X_2 - X_1)^{i_2} \cdot \dots \cdot (X_n - X_1)^{i_n} \quad (3.1)$$

and we have:

$$w(F) = \inf_{(\bullet, i_2, \dots, i_n) \in I} (u_1(g_{i_2, \dots, i_n}) + (i_2 + \dots + i_n) \cdot \epsilon).$$

Now let's analyze the polynomial  $\pi F \in K[X_1, \dots, X_n]$ , obtained from  $F$  by inverting  $X_n$  with  $X_1$ . Let's consider an arbitrary  $\omega$  that extends  $w$  on  $\overline{K(X_1, \dots, X_n)}$ . We have:

$$w(\pi F) = w \left( \sum_{(\bullet, i_2, \dots, i_{n-1}, i_n) \in I} g_{i_2, \dots, i_{n-1}, i_n}(X_n) \cdot (X_2 - X_n)^{i_2} \cdot \dots \cdot (X_{n-1} - X_n)^{i_n} \cdot (X_1 - X_n)^{i_n} \right)$$

that may be written further, denoting by  $J_{i_2, \dots, i_n}$  the set  $\{1, \dots, \deg g_{i_2, \dots, i_n}\}$ , with  $r_{i_2, \dots, i_n; j}$  being the roots of  $g_{i_2, \dots, i_n}$ , where  $j \in J_{i_2, \dots, i_n}$  and with  $a_{i_2, \dots, i_n}$  being the coefficient of the term with the maximal degree:

$$w(\pi F) = \omega \left( \sum_{(\bullet, i_2, \dots, i_{n-1}, i_n) \in I} \left( a_{i_2, \dots, i_n} \cdot \left( \prod_{j \in J_{i_2, \dots, i_n}} (X_n - r_{i_2, \dots, i_n; j}) \right) \cdot (X_2 - X_n)^{i_2} \cdot \dots \cdot (X_1 - X_n)^{i_n} \right) \right)$$

and from this, having  $X_n - r_{i_2, \dots, i_n; j} = X_n - X_1 + X_1 - r_{i_2, \dots, i_n; j}$ , we get:

$$\begin{aligned} w(\pi F) = \\ \omega \left( \sum_{(\bullet, i_2, \dots, i_n) \in I} \sum_{H \subset J_{i_2, \dots, i_n}} \left( a_{i_2, \dots, i_n} \cdot \left( \prod_{j \in J_{i_2, \dots, i_n} - H} (X_1 - r_{i_2, \dots, i_n; j}) \right) \right. \right. \\ \left. \left. \cdot (X_2 - X_n)^{i_2} \cdot \dots \cdot (X_1 - X_n)^{i_n + \text{card}(H)} \right) \right) \quad (3.2) \end{aligned}$$

Considering the fact that, for each  $i \neq j \in \{1, \dots, n\}$  and any  $r \in \bar{K}$ , we get

$$w(X_i - X_j) = w(X_i - X_1 + X_1 - X_j) = \epsilon \geq \delta = \omega(X_1 - r)$$

it may be derived that each term of the double summation in (3.2) has the valuation greater or equal to  $w(F)$ :

$$\begin{aligned} \omega \left( a_{i_2, \dots, i_n} \cdot \left( \prod_{j \in J_{i_2, \dots, i_n} - H} (X_1 - r_{i_2, \dots, i_n; j}) \right) \right. \\ \left. \cdot (X_2 - X_n)^{i_2} \cdot \dots \cdot (X_1 - X_n)^{i_n + \text{card}(H)} \right) \geq \\ \omega \left( a_{i_2, \dots, i_n} \cdot \left( \prod_{j \in J_{i_2, \dots, i_n}} (X_1 - r_{i_2, \dots, i_n; j}) \right) \right. \\ \left. \cdot (X_2 - X_n)^{i_2} \cdot \dots \cdot (X_1 - X_n)^{i_n} \right) = \\ w(g_{i_2, \dots, i_n} \cdot (X_2 - X_n)^{i_2} \cdot \dots \cdot (X_1 - X_n)^{i_n}) = \\ u_1(g_{i_2, \dots, i_n} + (i_2 + \dots + i_n) \cdot \epsilon) > w(F) \end{aligned}$$

We deduce, therefore, that  $w(\pi F) \geq w(F)$ . We are left with proving the reverse inequality.

Out of the terms of  $F$ , whose valuation is equal to  $w(F)$ , let's choose one of minimal degree in  $X_n$ :

$$\begin{aligned} g_{l_2, \dots, l_{n-1}, l_n} \cdot (X_2 - X_1)^{l_2} \cdot \dots \cdot (X_{n-1} - X_1)^{l_{n-1}} \cdot (X_n - X_1)^{l_n}, \text{ with} \\ w(F) = u_1(g_{l_2, \dots, l_{n-1}, l_n}) + (l_2 + \dots + l_{n-1} + l_n) \cdot \epsilon \text{ and} \\ l_n \text{ is minimal having this property.} \end{aligned}$$

Now we need to write also  $\pi F$  in the form (3.1). In order to do that, we will need to put:

$$\begin{aligned} X_n - r_{i_2, \dots, i_n; j} &= (X_n - X_1) + (X_1 - r_{i_2, \dots, i_n; j}) \text{ and} \\ X_i - X_n &= (X_i - X_1) + (X_1 - X_n) \text{ for } 2 \leq i < n \end{aligned}$$



and to perform the replacement in (3.2). It is not necessary to perform all the calculations, as we are interested only in those terms that get summed up for the  $(n-1)$ -uple  $(l_2, \dots, l_n)$ , meaning those that are identified by:

$$F_{l_1, \dots, l_n, i_2, \dots, i_n, H} = a_{i_2, \dots, i_n} \cdot \left( \prod_{j \in J_{i_2, \dots, i_n} - H} (X_1 - r_{i_2, \dots, i_n; j}) \right) \cdot (X_2 - X_1)^{l_2} \cdot \dots \cdot (X_{n-1} - X_1)^{l_{n-1}} \cdot (X_n - X_1)^{l_n} \cdot (-1)^{l_n}$$

with  $i_2 \geq l_2, \dots, i_{n-1} \geq l_{n-1}, i_n \leq l_n, H \subseteq J_{i_2, \dots, i_n}$  and  $i_n + i_2 - l_2 + \dots + i_{n-1} - l_{n-1} + \text{card}(H) = l_n$ .

If we denote by  $\bar{u}_1$  the restriction of  $\omega$  to  $\bar{K}(X_1)$ , then we have:

$$\begin{aligned} \omega(F_{l_2, \dots, l_n, i_2, \dots, i_n, H}) &= \\ v(a_{i_2, \dots, i_n}) + \sum_{j \in J_{i_2, \dots, i_n} - H} \bar{u}_1(X_1 - r_{i_2, \dots, i_n}) + (i_2 + \dots + i_n + \text{card}(H)) \cdot \epsilon &\geq \\ v(a_{i_2, \dots, i_n}) + \sum_{j \in J_{i_2, \dots, i_n}} \bar{u}_1(X_1 - r_{i_2, \dots, i_n}) + (i_2 + \dots + i_n) \cdot \epsilon &= \\ u_1(g_{i_2, \dots, i_n}) + (i_2 + \dots + i_n) \cdot \epsilon &\geq w(F) \end{aligned}$$

with the last inequality being strict when  $i_n < l_n$ . This means that there exists one and only one term equal to  $w(F)$  among those that get summed up for the  $(n-1)$ -uple  $(l_2, \dots, l_n)$ , namely  $F_{l_2, \dots, l_n, l_2, \dots, l_n, \phi}$ .

We get, thus, the reverse inequality:

$$w(\pi F) = \inf_{(\bullet, l_2, \dots, l_n) \in I} \omega \left( \sum_{i_2, \dots, i_n, H} F_{l_2, \dots, l_n, i_2, \dots, i_n, H} \right) = w(F)$$

so  $w$  is symmetric with respect to  $X_1, \dots, X_n$ .

Now we notice from iii) that  $(a, \delta)$  is a minimal pair of definition for  $u_1$  (the restriction of  $w$  to  $K(X_1)$ ) and, from [5, V-Entiers, §6,10], we get that  $u_1$  is a residual-transcendental extension. Moreover, for each  $i \in \{2, \dots, n\}$ , we have  $\deg_{X_i} X_1 = 1$ , so  $(X_1, \epsilon)$  is a minimal pair of definition with respect to  $K(X_1, \dots, X_{i-1})$  and  $u_{i-1}$  (the restriction of  $w$  to  $K(X_1, \dots, X_{i-1})$ ), which leads to the fact that all the intermediary extensions  $u_i$  are residual-transcendental.

Let's fix  $L = \overline{K(X_1, \dots, X_n)}$  an algebraic closure of  $K(X_1, \dots, X_n)$  that extends  $\bar{K}$  from the hypothesis. We shall prove, by induction by  $n$ , that for any  $\bar{w}$ , an extension of  $w$  from  $K(X_1, \dots, X_n)$  to  $L$ , we get  $\bar{w}$  extending the symmetry of  $w$ . Let  $\bar{K}$  be the closure of  $K$  in  $L$ ,  $\bar{u}_2$  an extension of  $u_2$  to  $\bar{K}(X_1, X_2)$ ,  $\bar{u}_1$  its restriction to  $\bar{K}(X_1)$  which, obviously, extends  $u_1$  and

$\bar{v}$  its restriction to  $\bar{K}$ . As  $(X_1, \epsilon)$  is a minimal pair of definition of  $u_2$ , we derive that, for any  $F \in \overline{K(X_1)}[X_2]$  written as

$$F = \sum_{i_2 \in I_2} \rho_{i_2} (X_2 - X_1)^{i_2}, \text{ with } \rho_{i_2} \in \overline{K(X_1)}$$

with  $I_2$  a set of indices, we have

$$\bar{u}_2(F) = \inf_{i_2 \in I_2} (\bar{u}_1(\rho_{i_2} + i_2 \cdot \epsilon)).$$

which means that, for any  $F \in \bar{K}[X_1, X_2]$  written as

$$F = \sum_{(i_1, i_2) \in I_{1,2}} a_{i_1, i_2} (X_1 - a)^{i_1} (X_2 - X_1)^{i_2}, \text{ with } a_{i_1, i_2} \in \bar{K}$$

where  $I_{1,2}$  is a set of pairs of indices, we get

$$\bar{u}_2(F) = \inf_{(\bullet, i_2) \in I_{1,2}} \left( \bar{u}_1 \left( \sum_{i_1 \text{ such that } (i_1, i_2) \in I_{1,2}} a_{i_1, i_2} (X_1 - a)^{i_1} \right) + i_2 \cdot \epsilon \right)$$

and, since  $\bar{u}_1$  extends  $u_1$  which is a r.t.-extension, we have

$$\begin{aligned} \bar{u}_2(F) &= \inf_{(\bullet, i_2) \in I_{1,2}} \left( \inf_{i_1 \text{ such that } (i_1, i_2) \in I_{1,2}} (\bar{v}(a_{i_1, i_2}) + i_1 \cdot \delta) + i_2 \cdot \epsilon \right) \\ &= \inf_{(i_1, i_2) \in I_{1,2}} (\bar{v}(a_{i_1, i_2}) + i_1 \cdot \delta + i_2 \cdot \epsilon) \end{aligned}$$

Now let's analyze the polynomial  $\pi F \in K[X_1, X_2]$ , obtained from  $F$  by inverting  $X_2$  with  $X_1$ . We have:

$$\begin{aligned} \pi F &= \sum_{(i_1, i_2) \in I_{1,2}} a_{i_1, i_2} (X_2 - a)^{i_1} (X_1 - X_2)^{i_2} = \\ &= \sum_{(i_1, i_2) \in I_{1,2}} \sum_{k=0}^{i_1} (-1)^{i_2} a_{i_1, i_2} C_{i_1}^k (X_1 - a)^{i_1 - k} (X_2 - X_1)^{k+i_2} = \\ &= \sum_{l \geq 0} \left( \sum_{\substack{k, i_2 \geq 0 \\ k+i_2=l}} (-1)^{i_2} \left( \sum_{i_1 \geq k} a_{i_1, i_2} C_{i_1}^k (X_1 - a)^{i_1 - k} \right) \right) \cdot (X_2 - X_1)^l = \\ &= \sum_{l \geq 0} \left( \sum_{h \geq 0} \left( \sum_{\substack{(i_1, i_2) \in I_{1,2} \\ i_1+i_2=l+h \\ i_1 \geq h}} (-1)^{i_2} a_{i_1, i_2} C_{i_1}^h \right) \cdot (X_1 - a)^h \right) \cdot (X_2 - X_1)^l. \end{aligned}$$

In order to have  $(X_1 - a)^h(X_2 - X_1)^l$  appearing in  $\pi F$ , there must exist a pair  $(i_1, i_2) \in I_{1,2}$  featuring  $i_1 \geq h$  and  $i_1 + i_2 = l + h$ , so  $i_1 \leq l$ . Out of these, let's choose the pair  $(j_1, j_2)$  for which  $\bar{v}(a_{j_1, j_2} C_{j_1}^h)$  is minimal. Since  $\delta \leq \epsilon$  and  $\bar{v}(C_{j_1}^h)$  we derive

$$\bar{u}_2 \left( \sum_{\substack{(i_1, i_2) \in I_{1,2} \\ i_1 + i_2 = l + h \\ i_1 \geq h}} (-1)^{i_2} a_{i_1, i_2} C_{i_1}^h (X_1 - a)^h (X_2 - X_1)^l \right) \geq \bar{v}(a_{j_1, j_2}) + j_1 \cdot \delta + j_2 \cdot \epsilon$$

for any  $l$  and  $h$ , so  $\bar{u}_2(\pi F) \geq \bar{u}_2(F)$ .

By choosing  $(h', l') \in I_{1,2}$  such that  $\bar{u}_2(F) = \bar{v}(a_{h', l'}) + h' \cdot \delta + l' \cdot \epsilon$  and such that  $h'$  is maximal with this property we notice that, among the terms that compose the coefficient of  $(X_1 - a)^{h'}(X_2 - X_1)^{l'}$ , there exists one and only one equal to  $\bar{u}_2(F)$ , namely the one having  $i_1 = h'$  and  $i_2 = l'$ .

It follows that  $\bar{u}_2(\pi F) = \bar{u}_2(F)$ , for any  $F \in \overline{K(X_1)}[X_2]$ , so  $\bar{u}_2$  extends the symmetry of  $u_2$ .

Let's move on to the induction step and let's consider the target statement true for any  $n' < n$ . Let  $\bar{w}$  be an extension of  $w$  from  $K(X_1, \dots, X_n)$  to  $L$ , an integer  $m$  such that  $0 \leq m < n$  and a partition of  $\{1, 2, \dots, n\} = \{k_1, k_2, \dots, k_m\} \cup \{l_1, l_2, \dots, l_{n-m}\}$ . Let's denote by  $\bar{u}$  the restriction of  $\bar{w}$  to  $\overline{K(X_{k_1}, \dots, X_{k_m})}(X_{l_1}, \dots, X_{l_{n-m}})$ , where  $\overline{K(X_{k_1}, \dots, X_{k_m})}$  is the closure of  $K(X_{k_1}, \dots, X_{k_m})$  in  $L$ . We shall prove that  $\bar{u}$  is symmetric with respect to  $X_{l_1}, \dots, X_{l_{n-m}}$ . There are two cases, depending on the value of  $m$ .

If  $m > 0$ , as  $w$  is symmetric, we know that, for any  $F \in K[X_1, \dots, X_n]$  written as

$$\sum_{(i_1, \dots, i_n) \in I} f_{i_1, \dots, i_n}(X_{k_1}) \cdot g(X_{k_1})^{i_1} \cdot (X_{k_2} - X_{k_1})^{i_2} \cdot \dots \cdot (X_{k_m} - X_{k_1})^{i_m} \cdot (X_{l_1} - X_{i_1})^{i_{m+1}} \cdot \dots \cdot (X_{l_{n-m}} - X_{k_1})^{i_n},$$

with  $\deg f_{i_1, \dots, i_n} < \deg g$  and  $I$  a finite set of  $n$ -uples of indices, we get:

$$w(F) = \inf_{(i_1, \dots, i_n) \in I} (v'(f_{i_1, \dots, i_n}(a)) + i_1 \cdot \gamma + (i_2 + \dots + i_n) \cdot \epsilon).$$

Again, all the intermediary extensions are r.t.-extensions so, as above, for any polynomial  $G \in \overline{K(X_{k_1}, \dots, X_{k_m})}(X_{l_1}, \dots, X_{l_{n-m}})$  written as

$$\sum_{(i_{m+1}, \dots, i_n) \in J} \eta_{i_{m+1}, \dots, i_n} \cdot (X_{l_1} - X_{k_1})^{i_{m+1}} \cdot \dots \cdot (X_{l_{n-m}} - X_{k_1})^{i_n},$$

with  $\eta_{i_{m+1}, \dots, i_n} \in \overline{K(X_{k_1}, \dots, X_{k_m})}$ .

But we are now verifying the conditions of the induction hypothesis, with  $n' = n - m < n$ ,  $\delta' = \epsilon$  and the minimal monic polynomial of  $X_{k_1}$  being  $g' \in \overline{K(X_{k_1}, \dots, X_{k_m})}[X]$  with  $g'(X) = X - X_{k_1}$  so, applying the induction hypothesis, it follows that  $\bar{u}$  is symmetric with respect to  $X_{l_1}, \dots, X_{l_{n-m}}$ .

Finally, when  $m = 0$ , Lemma 3.1 allows us to verify the symmetry, successively, only against two indeterminates, which reduces the analysis of this case to the one above.  $\square$

**Corollary 3.1.** *An extension  $w$ , of  $v$  from  $K$  to  $K(X_1, \dots, X_n)$ , is a r.t.s. extension if and only if hypothesis (2.5) holds, namely there exists  $a \in \bar{K}$  and  $\delta \in \mathbf{Q}G_v$  such that the following conditions are true:*

i)  $w(X_i - X_1) = \delta$ , for all  $i \in \{2, \dots, n\}$ ;

ii) when we denote by:

$g \in K[X]$  the minimal monic polynomial of  $a$ ;

$v'$  an extension of  $v$  to  $K(a)$ ;

$$\gamma := \sum_{\substack{a' \in \bar{K} \\ g(a')=0}} \inf(\delta, v'(a' - a));$$

then, for any  $F \in K[X_1, \dots, X_n]$  written as:

$$F = \sum_{(i_1, \dots, i_n) \in I} f_{i_1, \dots, i_n}(X_1) \cdot g(X_1)^{i_1} \cdot (X_2 - X_1)^{i_2} \cdot \dots \cdot (X_n - X_1)^{i_n},$$

with  $\deg f_{i_1, \dots, i_n} < \deg g$  with  $I$  is a finite set of  $n$ -tuples of indices, we get:

$$w(F) = \inf_{(i_1, \dots, i_n) \in I} (v'(f_{i_1, \dots, i_n}(a)) + i_1 \cdot \gamma + (i_2 + \dots + i_n) \cdot \delta).$$

In particular, the Gaussian extension verifies the conditions required by Proposition 3.2, by having  $a = 0$  and  $\delta = \epsilon = 0$ , so it is a particular case of a r.t.s.-extension.

#### 4. Ultrasymmetric extensions and symmetrically-open extensions

**Definition 4.1.** *A valuation  $w$  on  $K(X_1, \dots, X_n)$ , with  $n \geq 2$ , is called ultrasymmetric (with respect to  $X_1, \dots, X_n$ ) if, for any permutation  $\pi$  of the set  $\{1, 2, \dots, n\}$  and any  $f \in K(X_1, \dots, X_n)$ , we have:  $w(f) \geq 0 \Leftrightarrow w(\pi f) \geq 0$  and, when both inequalities are strict, we have  $f^* = (\pi f)^*$  in  $k_w$ .*

Observations:

(D4.1.1) An ultrasymmetric valuation is always symmetric but the reciprocal is not true. Indeed, let's suppose (*reductio ad absurdum*) that  $w$  is ultrasymmetric and, at the same time, there exists  $f \in K(X_1, \dots, X_n)$  such that  $w(f) < w(\pi f)$ . We can assume, without any loss of generality, that  $w(f)$  and  $w(\pi f)$  are minimal with this property among the permutations of  $f$ . Then we have two cases:

- (i)  $w(f) = w(\pi^{-1}f) < w(\pi f)$ , so  $w(f/\pi f) < 0 = w(\pi^{-1}f/f)$
- (ii)  $w(f) < w(\pi f) \leq w(\pi^{-1}f)$ , so  $w(f/\pi f) < 0 < w(\pi f/f) \leq w(\pi^{-1}f/f)$ .

and in both cases the ultrasymmetry of  $f$  is invalidated, since  $w(\pi^{-1}f/f) = w(\pi^{-1}(f/\pi f))$ .

On the other hand, the following example shows that the reciprocal is not true: let  $w$  be the trivial valuation on  $K(X_1, \dots, X_n)$ , with  $n \geq 2$ , that extends the trivial valuation on  $K$ . In this case,  $a = 0$ ,  $\delta = 0$  and  $k_n$  is isomorphic with  $K_n$ , so we might say that  $f^* = f$  for any  $f \in K(X_1, \dots, X_n)$ . From:

$$X_1^* = X_1 \neq X_2 = X_2^*$$

we can see immediately that the extension, although symmetric, is not ultrasymmetric.

(D4.1.2) A r.t.s.-extension with respect to  $X_1, \dots, X_n$ , with  $n \geq 2$ , is not ultrasymmetric.

(D4.1.3) The Gaussian valuation, for  $n \geq 2$ , is not ultrasymmetric. Indeed,  $w(X_i - X_j) = 0$ , so  $X_i^* \neq X_j^*$ , for any different  $i, j$  in  $\{1, 2, \dots, n\}$ .

**Definition 4.2.** *An extension  $w$ , of a valuation  $v$  from  $K$  to  $K(X_1, \dots, X_n)$ , symmetric with respect to  $X_1, \dots, X_n$ , is called symmetrically-open (with respect to  $X_1, \dots, X_n$ ) if, adding any number of other indeterminates (elements transcendental and algebraically independent over  $K(X_1, \dots, X_n)$ ),  $X_{n+1}, \dots, X_{n+r}$ , there exists a symmetric extension of it to  $K(X_1, \dots, X_{n+r})$  with respect to  $X_1, \dots, X_{n+r}$ .*

Observations:

(D4.2.1) If  $w$  is symmetrically-open with respect to  $X_1, \dots, X_n$ , with  $n \geq 2$ , then it is symmetrically-open with respect to  $X_1, \dots, X_i$ , for  $i < n$ . The dual statement will be proved later.

(D4.2.2) Any r.t.s.-extension is symmetrically-open; in particular, any Gaussian extension is symmetrically-open. This means that, if we formally extend the definition above for  $n = 0$ , we can say that any extension is (trivially) symmetrically-open with respect to the void set.

The next proposition prepares the classification of the symmetrical extensions in a simple way, as it was promised in the introduction. In essence, it states that a symmetrically-open extension cannot have complete freedom in its construction, except for the first intermediary extension, namely the one from  $K$  to  $K(X_1)$ .

But, first, we need an important lemma to regulate the extension of the symmetry to the algebraic closure.

**Lemma 4.1.** *Let  $w$  be an extension of  $v$  from  $K$  to  $K(X_1, \dots, X_n)$ , symmetrically open with respect to  $X_1, \dots, X_n$  and a fixed algebraic closure  $\overline{K(X_1, \dots, X_n)}$  of  $K(X_1, \dots, X_n)$ . Consider a partition*

$$\{1, 2, \dots, n\} = \{i_1, i_2, \dots, i_m\} \cup \{j_1, j_2, \dots, j_{n-m}\},$$

with  $0 \leq m < n$ , then put  $L := K(X_{i_1}, \dots, X_{i_m})$  and denote with  $Y_1, \dots, Y_k$  the indeterminates  $X_{j_1}, \dots, X_{j_{n-m}}$  (where  $k = n - m$ ). Let's choose an infinite array of elements,  $Y_{k+1}, Y_{k+2}, \dots$ , that are transcendental and algebraic independent over the field  $L(Y_1, \dots, Y_k)$ . Then:

(L4.1.1) *For any  $L'$ , normal finite extension of  $L$ , there exists  $r \geq k+1$  and an extension  $\omega$  of  $w$  to  $L(Y_1, \dots, Y_r)$ , symmetric with respect to  $X_{i_1}, \dots, X_{i_m}, Y_1, \dots, Y_r$ , such that, given any extension  $\omega'$  of  $\omega$  to  $L'(Y_1, \dots, Y_r)$ , we get  $\omega'$  symmetric with respect to  $Y_1, \dots, Y_r$ .*

(L4.1.2) *Any extension  $\bar{w}$  of  $w$  to  $\overline{K(X_1, \dots, X_n)}$  also extends the symmetry of  $w$ .*

**Proof.** (L4.1.1) Let's suppose (*reduction ad absurdum*) that for any  $r \geq k+1$  and any extension  $\omega$  of  $w$  to  $L(Y_1, \dots, Y_r)$ , symmetric with respect to  $X_{i_1}, \dots, X_{i_m}, Y_1, \dots, Y_r$ , there exists  $\omega'$ , an extension of  $\omega$  to  $L'(Y_1, \dots, Y_r)$ , such that  $\omega'$  is not symmetric with respect to  $Y_1, \dots, Y_r$ .

Obviously, the group  $\text{Aut}(L'/L)$  is finite and denote by  $l$  its order. Let  $r := (k+1) \cdot l \geq k+1$ . As  $w$  is symmetrically-open, we know that there exists  $\omega$ , an extension of  $w$  to  $L(Y_1, \dots, Y_r)$ , symmetric with respect to  $X_{i_1}, \dots, X_{i_m}, Y_1, \dots, Y_r$ . Let  $\omega'$  be an extension of it to  $L'(Y_1, \dots, Y_r)$  which is not symmetric with respect to  $Y_1, \dots, Y_r$  and, moreover, whose restriction to  $L'(Y_1, \dots, Y_{k+1})$  is not symmetric, either. This must exist because, if it hadn't,  $r' = k+1$  would invalidate the assumption made. Therefore, there exist  $\pi \in S_{k+1}$  and  $f \in L'(Y_1, \dots, Y_{k+1})$  with  $\omega'(f) \neq \omega'(\pi f)$ .

Let  $\omega^e$ , respectively  $\omega'^e$ , be the restriction of  $\omega$ , respectively  $\omega'$ , to the field generated by the elementary symmetric polynomials  $L(e_1^{(r)}, \dots, e_r^{(r)})$ , respectively  $L'(e_1^{(r)}, \dots, e_r^{(r)})$ , as it may be seen in the diagram below:

$$\begin{array}{ccccc}
 L & \xrightarrow{\quad} & \omega^e : L(e_1^{(r)}, \dots, e_r^{(r)}) & \xrightarrow{\text{unique}} & \omega : L(Y_1, \dots, Y_r) \\
 \downarrow \text{normal} & & \downarrow \text{isomorphic to } L'/L & & \downarrow \text{isomorphic to } L'/L \\
 L' & \xrightarrow{\quad} & \omega'^e : L'(e_1^{(r)}, \dots, e_r^{(r)}) & \xrightarrow{l+1 \text{ distinct}} & \omega', \omega'', \dots : L'(Y_1, \dots, Y_r)
 \end{array}$$

The automorphism groups of the three vertical extensions are isomorphic:

$$\begin{aligned}
 \text{Aut}(L'/L) \cong \text{Aut}(L'(e_1^{(r)}, \dots, e_r^{(r)})/L(e_1^{(r)}, \dots, e_r^{(r)})) \cong \\
 \text{Aut}(L'(Y_1, \dots, Y_r)/L(Y_1, \dots, Y_r))
 \end{aligned}$$

the correspondence given by:

$$\begin{aligned}
 a &\rightarrow \sigma(a) \\
 \sum_{(i_1, \dots, i_r) \in I} a_{i_1, \dots, i_r} \cdot (e_1^{(r)})^{i_1} \cdot \dots \cdot (e_r^{(r)})^{i_r} &\rightarrow \sum_{(i_1, \dots, i_r) \in I} \sigma(a_{i_1, \dots, i_r}) \cdot (e_1^{(r)})^{i_1} \cdot \dots \\
 &\quad \cdot (e_r^{(r)})^{i_r} \\
 \sum_{(i_1, \dots, i_r) \in I} a_{i_1, \dots, i_r} \cdot Y_1^{i_1} \cdot \dots \cdot Y_r^{i_r} &\rightarrow \sum_{(i_1, \dots, i_r) \in I} \sigma(a_{i_1, \dots, i_r}) \cdot Y_1^{i_1} \cdot \dots \cdot Y_r^{i_r}
 \end{aligned}$$

Let's notice that there must exist at least  $l+1$  different extensions of  $\omega'^e$  to  $L'(Y_1, \dots, Y_r)$ .

Indeed,  $\omega'(f) \neq \omega'(\pi f)$ , with  $f \in L'(Y_1, \dots, Y_{k+1})$ , and let's see  $\pi$  and all the other permutations defined below in  $S_r$ . Let's put  $f_i \in L'(Y_{i(k+1)+1}, \dots, Y_{(i+1)(k+1)})$ ,  $0 \leq i < l$ , obtained from  $f$  by translations of its indeterminates, namely  $f_i = \tau_i f$  where  $\tau_i = \tau_i^{-1}$  inverts the whole group  $Y_1, \dots, Y_{k+1}$  with the group  $Y_{i(k+1)+1}, \dots, Y_{(i+1)(k+1)}$ ; in particular,  $f_0 = f$ . Let's consider all the pairs of extensions of  $\omega'^e$  that apply the permutation  $\pi$  on the group  $Y_{i(k+1)+1}, \dots, Y_{(i+1)(k+1)}$ ,  $0 \leq i < l$ , namely  $(\omega'_i, \omega''_i) = (\tau_i \omega', (\pi \circ \tau_i) \omega')$ ; in particular,  $(\omega'_0, \omega''_0) = (\omega', \pi \omega')$ . We have  $\omega'_i(f_i) \neq \omega''_i(f_i)$ , but, since  $f_i$  has no common indeterminates with the other  $f_j$ ,  $j < i$ , it follows that at least one of  $\omega'_i$  and  $\omega''_i$  is different from all  $\omega'_j, \omega''_j$  with  $j < i$ . In total, remembering that  $\omega'_0 \neq \omega''_0$ , we have  $l+1$  different extensions of  $\omega'^e$  to  $L'(Y_1, \dots, Y_r)$ .

In conclusion, the number of extensions of  $\omega^e$  to  $L'(Y_1, \dots, Y_r)$ , passing through

$L(Y_1, \dots, Y_r)$  (the path marked by dotted thick arrows), is at least  $l+1$ . On the other hand,  $\omega$ , being symmetric, extends in a unique manner  $\omega^e$  to  $L(Y_1, \dots, Y_r)$  ([11, Theorem 3.1]), so the number of extensions of  $\omega^e$  to  $L'(Y_1, \dots, Y_r)$ , passing through  $L(Y_1, \dots, Y_r)$  (the path marked by continuous thick arrows) is at most  $l$  and, thus, we got a contradiction.

(L4.1.2) Let's fix  $\bar{w}$  an extension of  $w$  to  $\overline{K(X_1, \dots, X_n)}$ . Again, we will prove the result by contradiction.

Let's suppose, accordingly, that there exists a partition:

$$\{1, 2, \dots, n\} = \{i_1, i_2, \dots, i_m\} \cup \{j_1, j_2, \dots, j_{n-m}\}, \text{ with } 0 \leq m < n,$$

such that the restriction of  $\bar{w}$  to  $\overline{K(X_{i_1}, \dots, X_{i_m})(X_{j_1}, \dots, X_{j_{n-m}})}$  is not symmetric with respect to  $X_{j_1}, \dots, X_{j_{n-m}}$ , where  $\overline{K(X_{i_1}, \dots, X_{i_m})}$  is the closure of  $K(X_{i_1}, \dots, X_{i_m})$  in  $\overline{K(X_1, \dots, X_n)}$ .

Denote by  $L = K(X_{i_1}, \dots, X_{i_m})$  and by  $Y_1, \dots, Y_k$  the indeterminates  $X_{j_1}, \dots, X_{j_{n-m}}$  ( $k = n - m$ ).

Let's also put  $\bar{u} = \bar{w} |_{\bar{L}(Y_1, \dots, Y_k)}$  (we notice that it is an intermediary extension between  $w$  and  $\bar{w}$ ).

As  $\bar{u}$  is not symmetric, it follows that there exists a polynomial  $f \in \bar{L}(Y_1, \dots, Y_k)$  and a permutation  $\pi$  of  $\{1, 2, \dots, k\}$  such that  $\bar{u}(f) \neq \bar{u}(\pi f)$ . Let  $L' \subseteq \bar{L}$  be the normal finite extension of  $L$  that contains all the coefficients of  $f$ .

According to (L4.1.1) there exists an  $r \geq k + 1$  and  $\omega$  an extension of  $w$  to  $L(Y_1, \dots, Y_r)$ , symmetric with respect to  $X_{i_1}, \dots, X_{i_m}, Y_1, \dots, Y_r$ , such that given  $\omega'$ , any extension of it to  $L'(Y_1, \dots, Y_r)$ , we get that  $\omega'$  is symmetric with respect to  $Y_1, \dots, Y_r$ . But, in particular,  $\omega$  is symmetric with respect to  $Y_1, \dots, Y_r$  and we know from [11, Lemma 3.4] that there must exist  $\omega'$ , an extension of  $\omega$  to  $L'(Y_1, \dots, Y_k)$ , that extends  $\bar{u}$ , so we also have  $\omega'(f) \neq \omega'(\pi f)$ , which leads to a contradiction.  $\square$

We can move on to the announced proposition.

**Proposition 4.1.** *Let  $w$  be a symmetric extension of  $v$ , from  $K$  to  $K(X_1, \dots, X_n)$ , a fixed algebraic closure  $\overline{K(X_1, \dots, X_n)}$  and  $\bar{w}$  an extension of  $w$  to  $\overline{K(X_1, \dots, X_n)}$ .*

*Then  $w$  is symmetrically-open with respect to  $X_1, \dots, X_n$  if and only if either  $n < 2$ , or  $n \geq 2$  and there exists  $\epsilon \in G_2$  an upper bound of the set  $\mathcal{M}_1 = \{\bar{w}(X_1 - a)/a \in \bar{K}\}$ , such that, for any  $F \in K[X_1, \dots, X_n]$  written as:*

$$F = \sum_{(i_2, \dots, i_n) \in I} f_{i_2, \dots, i_n} \cdot (X_2 - X_1)^{i_2} \cdot \dots \cdot (X_n - X_1)^{i_n}, \text{ with } f_{i_2, \dots, i_n} \in K[X_1]$$

*where  $I$  is a finite set of  $(n - 1)$ -uples of indices, we get:*

$$w(F) = \inf_{(i_2, \dots, i_n) \in I} (u_1(f_{i_2, \dots, i_n}) + (i_2 + \dots + i_n) \cdot \epsilon).$$

**Proof.**

" $\Rightarrow$ " For  $n < 2$  there is nothing to prove. Let's suppose  $w$  is symmetrically open and let  $n \geq 2$ . According to (L4.1.2),  $\bar{w}$  extends the symmetry of



$w$ . Let's fix  $X_{n+1}, X_{n+2}, \dots$  an array of elements that are transcendental and algebraically independent over  $K(X_1, \dots, X_n)$ . Let  $L := K(X_1, \dots, X_{n-1})$ .

For any  $\rho \in \bar{L}$  let's denote the closure of  $L(\rho)$  in with  $L_\rho$ , which is normal finite extension of  $L$ . According to (L4.1.1) there exists  $r_\rho \geq 1$  and  $\omega_\rho$  an extension of  $w$  to  $L(X_1, \dots, X_n)$ , symmetric with respect to  $X_1, \dots, X_{n+r_\rho}$ , such that, given any  $w'$ , an extension of it to  $L_\rho(X_n, \dots, X_{n+r_\rho})$ , we get that  $w'$  is symmetric with respect to  $X_1, \dots, X_{n+r_\rho}$ . Let  $\bar{w}_\rho$  be the common extension of  $\bar{w}$  and  $\omega_\rho$  to  $\overline{K(X_1, \dots, X_n)}(X_{n+1}, \dots, X_{n+r_\rho})$ , which we know it exists, [11, Lemma 3.4]. Therefore, the restriction of  $\bar{w}_\rho$  to  $L_\rho(X_n, \dots, X_{n+r_\rho})$ , is symmetric with respect to  $X_n, \dots, X_{n+r_\rho}$ . We have:

$$\begin{aligned} \bar{w}(X_n - X_1) &= \bar{w}_\rho(X_{n+1} - X_n) = \bar{w}_\rho(X_{n+1} - \rho + \rho - X_n) \\ &\geq \bar{w}_\rho(X_n - \rho) = \bar{w}(X_n - \rho) \end{aligned}$$

and this holds for any  $\rho \in \bar{L}$ , independently of the choice of  $r_\rho$  and  $\omega_\rho$ .

Let  $\mathcal{M}_i = \{\bar{w}(X_i - \rho)/\rho \in \overline{K(X_1, \dots, X_{i-1})}\}$ , with  $i \in \{1, \dots, n\}$ . Obviously,  $\bar{w}(X_n - X_1) \in \mathcal{M}_n$ . From the discussion above, we have  $\bar{w}(X_n - X_1) = \sup \mathcal{M}_n$  and let's denote by  $\epsilon$  this value. Moreover, we have:

$$\epsilon = \bar{w}(X_n - X_1) = \bar{w}(X_2 - X_1) = w(X_2 - X_1) \in \mathcal{M}_2$$

and, since  $\mathcal{M}_2 \subseteq \mathcal{M}_n$ , it follows that  $\epsilon = \sup \mathcal{M}_2$ , so  $\epsilon$  is an upper bound also of  $\mathcal{M}_1$ . Now, as  $\sup \mathcal{M}_2 \in \mathcal{M}_2$ , we derive, according to [4], that  $u_2$ , the extension of  $u_1$  from  $K(X_1)$  to  $K(X_1, X_2)$  is either a r.t.-extension, when  $\mathbf{Q}G_1 = \mathbf{Q}G_2$ , or a r.a.f-extension, when otherwise.

In both cases, the pair  $(X_1, \epsilon)$  is a definition pair for  $u_2$  and is minimal since  $\deg_{X_2} X_1 = 1$ .

Consequently, given what we know from [4] and [10], it follows that, for any  $F \in K[X_1, X_2]$  written as:

$$F = \sum_{i_2=0}^{s_2} f_{i_2} \cdot (X_2 - X_1)^{i_2}, \text{ with } f_{i_2} \in K[X_1]$$

we get

$$w(F) = \inf_{i_2} (u_1(f_{i_2}) + i_2 \cdot \epsilon).$$

Now, let  $K' = K(X_1, X_2)$  and let's reconsider  $w$  and  $\bar{w}$  with respect to  $X_3, \dots, X_n, X_{n+1}$ . Obviously,  $w$  remains symmetric and  $\bar{w}$  extends its symmetry.

Furthermore, since

$$\epsilon = \sup \mathcal{M}_n = \sup \mathcal{M}_{n-1} = \dots = \sup \mathcal{M}_3 \in G_2$$

we deduce that  $\mathbf{Q}G_2 = \mathbf{Q}G_3 = \dots = \mathbf{Q}G_n$  because, if this wasn't true and we took  $\mathbf{Q}G_{i-1} \neq \mathbf{Q}G_i$ , with the smallest  $i \geq 3$  validating this, then there

would exist  $\rho \in \overline{K(X_1, \dots, X_{i-1})}$  that would make  $\bar{w}(X_i - \rho) \notin \mathbf{Q}G_{i-1}$  and, therefore

$$\bar{w}(X_1 - \rho) = \bar{w}(X_1 - X_i + X_i - \rho) = \bar{w}(X_i - \rho)$$

but this is not possible since  $\bar{w}(X_1 - \rho) \in \mathbf{Q}G_{i-1}$ .

We have proven, thus, that  $\text{freedeg}(w) = 0$ , with respect to  $X_3, \dots, X_n$ . Using (2.3) we derive that  $w$  is a r.t.s.-extension with respect to  $X_3, \dots, X_n$  and, given any  $F \in K[X_1, \dots, X_n]$  written as:

$$F = \sum_{(i_2, \dots, i_n) \in I} f_{i_2, \dots, i_n} \cdot (X_2 - X_1)^{i_2} \cdot \dots \cdot (X_n - X_1)^{i_n}, \text{ with } f_{i_2, \dots, i_n} \in K[X_1]$$

where  $I$  is a finite set of  $(n-1)$ -uples of indices, we get:

$$\begin{aligned} w(F) &= \inf_{(\cdot, i_2, \dots, i_n) \in I} \left( u_2 \left( \sum_{i_2 \text{ such that } (i_2, i_3, \dots, i_n) \in I} f_{i_2, \dots, i_n} \cdot (X_2 - X_1)^{i_2} \right) + \right. \\ &\qquad \qquad \qquad \left. (i_3 + \dots + i_n) \cdot \epsilon \right) = \\ &\qquad \qquad \qquad \inf_{(i_2, \dots, i_n) \in I} (u_1(f_{i_2, \dots, i_n}) + (i_2 + \dots + i_n) \cdot \epsilon). \end{aligned}$$

“ $\Leftarrow$ ” If  $n = 1$ , we are free to choose a value  $\epsilon$ , upper bound for  $\mathcal{M}_1$ . This value will be automatically in  $G_2$ , once we put  $w'(X_2 - X_1) = \epsilon$ . So we may consider, directly, the case  $n \geq 1$  and let's choose  $X_{n+1}$  transcendental over  $K(X_1, \dots, X_n)$ . Let's define  $w'$  as the extension of  $w$  to  $K(X_1, \dots, X_{n+1})$  given by the pair  $(X_1, \epsilon)$ , which is minimal because  $\deg_{X_{n+1}} = 1$ .

Therefore, for any  $F \in K[X_1, \dots, X_{n+1}]$  written as:

$$\begin{aligned} F &= \sum_{(i_2, \dots, i_{n+1}) \in I} f_{i_2, \dots, i_{n+1}} \cdot (X_2 - X_1)^{i_2} \cdot \dots \cdot (X_{n+1} - X_1)^{i_{n+1}}, \\ &\qquad \qquad \qquad \text{with } f_{i_2, \dots, i_{n+1}} \in K[X_1] \quad (4.1) \end{aligned}$$

where  $I$  is a finite set of  $n$ -uples of indices, we get

$$w'(F) = \inf_{(i_2, \dots, i_{n+1}) \in I} (u_1(f_{i_2, \dots, i_{n+1}}) + (i_2 + \dots + i_{n+1}) \cdot \epsilon).$$

Let's notice that  $w'$ , as extension of  $w$ , from  $K(X_1, \dots, X_n)$  to  $K(X_1, \dots, X_n)(X_{n+1})$  may be either a r.t.-extension or a r.a.f.-extension, the latter being valid only if  $n = 1$  and  $\epsilon \notin \mathbf{Q}G_1$ . But, in both cases, (see [4] and [10]),  $\epsilon$  is an upper bound of  $\mathcal{M}_n$ , which means that, in particular, for any  $r \in \bar{K}$ , we get:

$$w'(X_{n+1} - X_1) = \epsilon \geq \bar{w}'(X_1 - r)$$

Using the definition of  $w'$  we derive that  $w'(X_i - X_j) = \epsilon$  for each  $i \neq j$  in  $\{1, \dots, n+1\}$ .

Further, it is obvious that  $w'$  is symmetric with respect to  $X_i, X_{n+1}$  for each  $i \geq 2$  therefore, in order to check the symmetry of  $w'$ , it is enough (cf. Lemma 3.1) to check the inversion of  $X_{n+1}$  with  $X_1$ . Let, thus,  $F \in K[X_1, \dots, X_{n+1}]$  and let's analyze the polynomial  $\pi F \in K[X_1, \dots, X_{n+1}]$  obtained from  $F$  by inverting  $X_{n+1}$  with  $X_1$ . Let's consider  $\bar{w}'$  that extends  $\bar{w}$  on  $\bar{K}(X_1, \dots, X_{n+1})$ .

We have

$$w'(\pi F) = w' \left( \sum_{(i_2, \dots, i_n, i_{n+1}) \in I} f_{i_2, \dots, i_n, i_{n+1}}(X_{n+1}) \cdot (X_2 - X_{n+1})^{i_2} \cdot \dots \cdot (X_n - X_{n+1})^{i_n} \cdot (X_1 - X_{n+1})^{i_{n+1}} \right)$$

which may be written, further, denoting by  $J_{i_2, \dots, i_{n+1}}$  the set  $\{1, \dots, \deg f_{i_2, \dots, i_{n+1}}\}$ , with  $r_{i_2, \dots, i_{n+1}; j}$  being the roots of  $f_{i_2, \dots, i_{n+1}}$ , where  $j \in J_{i_2, \dots, i_{n+1}}$  and denoting by  $a_{i_2, \dots, i_{n+1}}$  the coefficient of the term of maximal degree

$$w'(\pi F) = \bar{w}' \left( \sum_{(i_2, \dots, i_{n+1}) \in I} \left( a_{i_2, \dots, i_{n+1}} \cdot \left( \prod_{j \in J_{i_2, \dots, i_{n+1}}} (X_{n+1} - r_{i_2, \dots, i_{n+1}; j}) \right) \cdot (X_2 - X_{n+1})^{i_2} \cdot \dots \cdot (X_1 - X_{n+1})^{i_{n+1}} \right) \right)$$

which, by replacing  $X_{n+1} - r_{i_2, \dots, i_{n+1}; j} = X_{n+1} - X_1 + X_1 - r_{i_2, \dots, i_{n+1}; j}$ , becomes:

$$\begin{aligned} & w'(\pi F) = \\ & \bar{w}' \left( \sum_{(i_2, \dots, i_{n+1}) \in I} \sum_{H \subset J_{i_2, \dots, i_{n+1}}} \left( a_{i_2, \dots, i_{n+1}} \cdot \left( \prod_{j \in J_{i_2, \dots, i_{n+1}} - H} (X_1 - r_{i_2, \dots, i_{n+1}; j}) \right) \cdot (X_2 - X_{n+1})^{i_2} \cdot \dots \cdot (X_1 - X_{n+1})^{i_{n+1} + \text{card}(H)} \right) \right) \end{aligned} \quad (4.2)$$

Considering the fact that, for any  $i \neq j$  in  $\{1, \dots, n+1\}$  and any  $r \in \bar{K}$ , we get

$$w'(X_i - X_j) = \epsilon \geq \bar{w}'(X_1 - r)$$

it follows that each term of the double summation in (4.2) has its valuation greater or equal to  $w'(F)$ :

$$\begin{aligned} & \bar{w}' \left( a_{i_2, \dots, i_{n+1}} \cdot \left( \prod_{j \in J_{i_2, \dots, i_{n+1}} - H} (X_1 - r_{i_2, \dots, i_{n+1}; j}) \right) \right. \\ & \qquad \qquad \qquad \left. \cdot (X_2 - X_{n+1})^{i_2} \cdot \dots \cdot (X_1 - X_{n+1})^{i_{n+1} + \text{card}(H)} \right) \geq \\ & \bar{w}' \left( a_{i_2, \dots, i_{n+1}} \cdot \left( \prod_{j \in J_{i_2, \dots, i_{n+1}}} (X_1 - r_{i_2, \dots, i_{n+1}; j}) \right) \right. \\ & \qquad \qquad \qquad \left. \cdot (X_2 - X_{n+1})^{i_2} \cdot \dots \cdot (X_1 - X_{n+1})^{i_{n+1}} \right) = \\ & w'(f_{i_2, \dots, i_{n+1}} \cdot (X_2 - X_{n+1})^{i_2} \cdot \dots \cdot (X_1 - X_{n+1})^{i_{n+1}}) = \\ & u_1(f_{i_2, \dots, i_{n+1}}) + (i_2 + \dots + i_{n+1}) \cdot \epsilon \geq w'(F) \end{aligned}$$

We deduce, thus, that  $w'(\pi F) \geq w'(F)$ . We are left with the reverse inequality.

Of the terms of  $F$ , whose valuation is equal to  $w'(F)$ , let's chose one of minimal degree in  $X_{n+1}$ :

$$\begin{aligned} & f_{l_2, \dots, l_n, l_{n+1}} \cdot (X_2 - X_1)^{l_2} \cdot \dots \cdot (X_n - X_1)^{l_n} \cdot (X_{n+1} - X_1)^{l_{n+1}}, \text{ with} \\ & w'(F) = u_1(f_{l_2, \dots, l_n, l_{n+1}}) + (l_2 + \dots + l_n + l_{n+1}) \cdot \epsilon \text{ and} \\ & l_{n+1} \text{ is minimal validating this property.} \end{aligned}$$

Now, we need to write also  $\pi F$  under the form (4.1). In order to do this, we will need to set:

$$\begin{aligned} X_{n+1} - r_{i_2, \dots, i_{n+1}; j} &= (X_{n+1} - X_1) + (X_1 - r_{i_2, \dots, i_{n+1}; j}) \text{ and} \\ X_i - X_{n+1} &= (X_i - X_1) + (X_1 - X_{n+1}) \text{ for } 2 \leq i \leq n \end{aligned}$$

and to make the replacements in (4.2). It is not necessary to perform all the calculations, because we are interested only in those terms that sum up for the  $n$ -uple  $(l_2, \dots, l_{n+1})$ , meaning those of the form:

$$\begin{aligned} & F_{l_2, \dots, l_{n+1}, i_2, \dots, i_{n+1}, H} = \\ & a_{i_2, \dots, i_{n+1}} \cdot \left( \prod_{j \in J_{i_2, \dots, i_{n+1}} - H} (X_1 - r_{i_2, \dots, i_{n+1}; j}) \right) \\ & \cdot (X_2 - X_1)^{l_2} \cdot \dots \cdot (X_n - X_1)^{l_n} \cdot (X_{n+1} - X_1)^{l_{n+1}} \cdot (-1)^{l_{n+1}} \end{aligned}$$

with  $i_2 \geq l_2, \dots, i_n \geq l_n, i_{n+1} \leq l_{n+1}$ ,  $H \subseteq J_{i_2, \dots, i_{n+1}}$  and  $i_{n+1} + i_2 - l_2 + \dots + i_n - l_n + \text{card}(H) = l_{n+1}$ .

If we denote by  $\bar{u}_1$  the restriction of  $\bar{w}'$  to  $\bar{K}(X_1)$ , then we have:

$$\begin{aligned} & \bar{w}'(F_{l_2, \dots, l_{n+1}, i_2, \dots, i_{n+1}, H}) = \\ v(a_{i_2, \dots, i_{n+1}}) + & \sum_{j \in J_{i_2, \dots, i_{n+1}} - H} \bar{u}_1(X_1 - r_{i_2, \dots, i_{n+1}; j}) + \\ & (i_2 + \dots + i_{n+1}) + \text{card}(H)) \cdot \epsilon \geq \\ v(a_{i_2, \dots, i_{n+1}}) + & \sum_{j \in J_{i_2, \dots, i_{n+1}}} \bar{u}_1(X_1 - r_{i_2, \dots, i_{n+1}; j}) + (i_2 + \dots + i_{n+1}) \cdot \epsilon = \\ & u_1(f_{i_2, \dots, i_{n+1}}) + (i_2 + \dots + i_{n+1}) \cdot \epsilon \geq w'(F) \end{aligned}$$

with the last inequality being strict if  $i_{n+1} < l_{n+1}$ . This means that there exists one and only one term equal to  $w'(F)$  among those that sum up for the  $n$ -uple  $(l_2, \dots, l_{n+1})$ , namely  $F_{l_2, \dots, l_{n+1}, l_2, \dots, l_{n+1}, \phi}$ . Thus, we get the reverse inequality:

$$w'(\pi F) = \inf_{(l_2, \dots, l_{n+1}) \in I} \bar{w}' \left( \sum_{i_2, \dots, i_{n+1}, H} F_{l_2, \dots, l_{n+1}, i_2, \dots, i_{n+1}, H} \right) \leq w'(F)$$

We conclude that  $w'(\pi F) = w'(F)$ , therefore  $w'$  is symmetric with respect to  $X_1, \dots, X_{n+1}$ . By induction, choosing  $X_{n+2}, X_{n+3}, \dots$  and reasoning similarly, we get a chain of symmetric extensions, leading to the conclusion that  $w$  is a symmetrically-open extension with respect to  $X_1, \dots, X_n$ .  $\square$

**Corollary 4.1.** *With the notations above we have:*

(C4.1.1) *The dual statement of (D4.2.1) also stands: for any symmetrically-open extension with respect to  $X_1, \dots, X_n$  there exists an extension of it, symmetrically-open with respect to  $X_1, \dots, X_i$ , for all  $i > n$ , with  $\text{tr. deg}(K(X_1, \dots, X_i) : K) = i$ .*

(C4.1.2) *For a chain of symmetrically-open extensions, built using (C4.1.1), there exists a chain of extensions to the algebraic closures (of the fields each of the extensions in the original chain are defined on), such that their symmetry is also extended.*

(C4.1.3) *A symmetric extension is symmetrically-open if and only if it may be extended to a symmetric valuation on  $K(X_1, \dots, X_{n+1})$  that has an extension further to  $K(X_1, \dots, X_{n+1})$  which extends its symmetry.*

(C4.1.4) *If  $n \geq 3$ , a symmetrically-open extension cannot be ultrasymmetric with respect to  $X_1, \dots, X_n$ .*

(C4.1.5) *If  $w$  is symmetrically-open with respect to  $X_1, \dots, X_n$  then:*

$$\begin{aligned} 0 &\leq \text{freedeg } w \leq 2; \\ n - 2 &\leq \text{tr. deg}(k_w : k_v) \leq n; \\ n - 1 &\leq \text{freedeg } w + \text{tr. deg}(k_w : k_v) \leq n. \end{aligned}$$

**Proof.** (C4.1.1), (C4.1.2) The statements are obvious from the closed-form of the symmetrically open extensions, corroborated with Proposition 3.2

(C4.1.3) The implication “ $\Rightarrow$ ” is obvious due to (C4.1.2), so we’ll focus on the reverse implication.

Let  $w'$  be the extension of  $w$  to  $K(X_1, \dots, X_{n+1})$  and  $\bar{w}'$  its extension to  $\overline{K(X_1, \dots, X_{n+1})}$ . In the proof made for the “ $\Rightarrow$ ” implication in Proposition 4.1 we have, directly:

$$\begin{aligned} \bar{w}(X_n - X_1) &= \bar{w}'(X_{n+1} - X_n) = \bar{w}'(X_{n+1} - \rho + \rho - X_n) \\ &\geq \bar{w}'(X_n \rho) = \bar{w}(X_n - \rho) \end{aligned}$$

for any  $\rho \in \overline{K(X_1, \dots, X_{n-1})}$  wherefrom the proof follows similarly.

(C4.1.4) If we consider  $w$  as a valuation on  $K(X_1)(X_2, X_3)$ , it is symmetrically open with respect to  $X_2, X_3$ . From Proposition 4.1 it follows that  $w$  might be written as for Corollary 3.1 with:

$$\begin{aligned} K &\rightarrow K(X_1); \\ a &\rightarrow X_1; \\ g &\rightarrow X - X_1; \\ \delta &\rightarrow \epsilon = w(X_2 - X_1) = w(X_3 - X_1). \end{aligned}$$

Therefore, according to (2.4),  $w$  is a r.t.s.-extension with respect to  $X_2, X_3$ . Now, using (D4.1.2), we conclude that  $w$  is not ultrasymmetric.

(C4.1.5) For  $n \leq 2$ , the first two statements are obvious. If  $n \geq 3$ , we use the same arguments as above to derive that  $w$ , as a valuation on  $K(X_1)(X_2, X_3, \dots, X_n)$ , is a r.t.s.-extension with respect to  $X_2, X_3, \dots, X_n$  and, considering (2.2) and (2.3), we conclude that:

$$\begin{aligned} 0 &= \text{freedeg}_{X_2, \dots, X_n} w \geq \text{freedeg } w - 1 \text{ and:} \\ n - 1 &= \text{tr. deg}(k_w : k_{u_i}) \leq \text{tr. deg}(k_w : k_v). \end{aligned}$$

We are left with the last inequality. From [4] we know that all the intermediary extensions from  $K_{i-1}$  to  $K_i$  (with  $1 \leq i \leq n$ ) may be classified as r.t., r.a.t. or r.a.f. As  $\text{tr. deg}(k_w : k_v)$  represents the number of intermediary extensions that are r.t.-extensions and  $w$  represents the number of intermediary extensions that are r.a.f.-extensions it remains to be proved that there cannot exist more than one intermediary extension that is r.a.t.-extension, namely the first of the intermediary extensions.

Let's analyze the only intermediary extension that is important not to be a r.a.t.-extension, namely the extension from  $K(X_1)$  to  $K(X_1, X_2)$ . Suppose, by *reduction ad absurdum*, that it is a r.a.t.-extension. Then the set

$$\mathcal{M}_2 = \{\bar{w}(X_2 - \rho)/\rho \in \overline{K(X_1)}\}$$

wouldn't have an upper bound inside.

From Proposition 4.1 we know that there exists  $\epsilon \in G_2$ , an upper bound for  $\mathcal{M}_1 \subseteq \mathcal{M}_2$ , such that, for any  $F \in K[X_1, X_2]$  written as  $F = \sum_{i \in I} f_i \cdot (X_2 - X_1)^i$ , with  $f_i \in K[X_1]$  where  $I$  is a finite set of indices, we get

$$u_2(F) = \inf_{i \in I} (u_1(f_i) + i \cdot \epsilon).$$

Let  $\{\epsilon_j\}_{j \in J}$  be a strictly increasing sequence of elements in  $\mathcal{M}_2$ , where  $J$  is a countable set. As  $\mathcal{M}_2$  doesn't have a largest element, we may assume, without any loss of generality, that  $\epsilon_0 = \epsilon$ . We choose, for each  $j \in J$ , an element  $\rho_j$  in  $\overline{K(X_1)}$ , of minimal degree over  $K(X_1)$ , such that  $u_2(X_2 - \rho_j) = \epsilon_j$ . For  $j = 0$  we choose  $\rho_0 = X_1$ .

Let  $\{u'_j\}_{j \in J}$  be the sequence of r.t.-extensions from  $K(X_1)$  to  $K(X_1, X_2)$  defined by the minimal pairs  $(\rho_j, \epsilon_j)$ . From [4, Theorem 5.1] it follows that this sequence is an ordered system of r.t.-extensions that has  $u_2$  as its limit:

$$u_2(F) = \sup_{j \in J} (u'_j(F)), \text{ for all } F \in K(X_1, X_2).$$

But this leads to:

$$u_2(F) = u'_0(F) = \sup_{j \in J} (u'_j(F))$$

which means that the ordered system of r.t.-extensions is stationary, which contradicts the assertion that  $\{\epsilon_j\}_{j \in J}$  is a strictly increasing sequence.  $\square$

### 5. Characterization of the symmetrically-open extensions

We can now present the main result of this paper, that allows a complete classification of the symmetrically-open extensions in two classes, depending on the existence of a r.a.f.-extension among the intermediary extensions. Additionally, the following theorem states that any extension in the second category (having a r.a.f.-extension among the intermediary ones) may be reduced, in fact, to a sequence of extensions from the first category.

**Theorem 5.1.** *Let  $w$  be a symmetrically-open extension of a valuation  $v$ , from  $K$  to  $K(X_1, \dots, X_n)$ , with  $n \geq 2$ , a fixed algebraic closure  $\overline{K(X_1, \dots, X_n)}$  and  $\bar{w}$  that extends the symmetry of  $w$  to  $\overline{K(X_1, \dots, X_n)}$ . Then  $w$  may be in one of the following possible situations:*

(**I**)  $\text{freedeg } w + \text{tr. deg}(k_w : k_v) = n$  and, in this case,  $w$  is defined by a triplet  $(a, \delta, \epsilon)$ , in which we have  $a \in \bar{K}$ ,  $\delta \in Z \times \mathbf{Q}G_v$  and  $\epsilon \in Z \times Z \times \mathbf{Q}G_v$ ,  $\epsilon > \delta$  such that, for any  $F \in K[X_1, \dots, X_n]$  written as:

$$F = \sum_{(i_1, \dots, i_n) \in I} f_{i_1, \dots, i_n} \cdot g^{i_1} (X_2 - X_1)^{i_2} \cdot \dots \cdot (X_n - X_1)^{i_n},$$

with  $f_{i_1, \dots, i_n} \in K[X_1]$ ,  $\deg f_{i_1, \dots, i_n} < \deg g$

where  $I$  is a finite set of  $n$ -uples of indices and  $g \in K[X_1]$  is the minimal monic polynomial of  $a$  over  $K$ , we get:

$$w(F) = \inf_{(i_1, \dots, i_n) \in I} (\bar{v}(f_{i_1, \dots, i_n}(a)) + i_1 \cdot \gamma + (i_2 + \dots + i_n) \cdot \epsilon),$$

$$\text{with } \gamma = \sum_{a' \in \bar{K}, g(a')=0} \inf(\delta_a, \bar{v}(a' - a))$$

(**II**)  $\text{freedeg } w + \text{tr. deg}(k_w : k_v) = n - 1$  and, in this case,  $w$  is the limit of an ordered system of extensions of type (**I**), that have in their definition the same value for  $\epsilon$ .

**Proof.** From C4.1.5 we know that  $n - 1 \leq \text{freedeg } w + \text{tr. deg}(k_w : k_v) \leq n$  so the cases (**I**) and (**II**) are, indeed, the only possible ones.

In case (**I**) all the intermediary extensions from  $K_{i-1}$  to  $K_i$  (with  $1 \leq i \leq n$ ) are r.t.-extensions or r.a.f.-extensions. Looking at the first of them, we notice that there exist  $a \in \bar{K}$  and  $\delta \in Z \times \mathbf{Q}G_v$  such that, for any  $f \in K[X_1]$  written as:

$$f = \sum_{i_1 \in I_1} f_{i_1} \cdot g^{i_1}, \text{ with } f_{i_1} \in K[X_1], \deg f_{i_1} < \deg g$$

where  $I_1$  is a finite set of indices and  $g \in K[X_1]$  is the minimal monic polynomial of  $a$  over  $K$ , we get:

$$u_1(f) = \inf_{i_1 \in I_1} (\bar{v}(f_{i_1}(a)) + i_1 \cdot \gamma), \text{ with } \gamma = \sum_{a' \in \bar{K}, g(a')=0} \inf(\delta_a, \bar{v}(a' - a)). \quad (5.1)$$

We also note that:

$$w(X_1 - a) = u_1(X_1 - a) = \delta \in \mathcal{M}_1.$$

From Proposition 4.1 we know that there exists  $\epsilon \in G_2$ , upper bound of  $\mathcal{M}_1$  (so  $\epsilon \geq \delta$ ), such that, for any  $F \in K[X_1, \dots, X_n]$  written as:

$$F = \sum_{(i_2, \dots, i_n) \in I} f_{i_2, \dots, i_n} \cdot (X_2 - X_1)^{i_2} \cdot \dots \cdot (X_n - X_1)^{i_n}, \text{ with } f_{i_2, \dots, i_n} \in K[X_1]$$



where  $I$  is a finite set of  $(n - 1)$ -uples of indices, we get

$$w(F) = \inf_{(i_2, \dots, i_n) \in I} (u_1(f_{i_2, \dots, i_n}) + (i_2 + \dots + i_n) \cdot \epsilon).$$

By applying 5.1 for  $f_{i_2, \dots, i_n}$  in the parenthesis above, we derive exactly the wanted formula:

$$w(F) = \inf_{(i_1, \dots, i_n) \in I} (\bar{v}(f_{i_1, \dots, i_n}(a)) + i_1 \cdot \gamma + (i_2 + \dots + i_n) \cdot \epsilon). \quad (5.2)$$

Let's now consider case **(II)**. As we discussed at Corollary 4.1, the extension  $u_1$  of  $v$ , from  $K$  to  $K(X_1)$ , is a r.a.t.-extension. Then the set  $\mathcal{M}_1$  doesn't have a maximal element.

Let  $\{\delta_j\}_{j \in J}$  be an increasing sequence of elements in  $\mathcal{M}_1$ , where  $J$  is a countable set and let's choose, for each  $j \in J$ , an element  $a_j$  in  $\bar{K}$ , of minimal degree over  $K$ , such that we would have  $u_1(X_1 - a_j) = \delta_j$ . Let's denote by  $g_j$  the minimal monic polynomial of  $a_j$ . Let  $\{u'_j\}_{j \in J}$  be the sequence of the r.t.-extensions from  $K$  to  $K(X_1)$  defined by the minimal pairs  $(a_j, \delta_j)$ . It follows from [4, Theorem 5.1] that this is an ordered system of r.t.-extensions that has  $u_1$  as limit:

$$u_1(f) = \sum_{j \in J} (u'_j(f)), \text{ for any } f \in K(X_1).$$

From Proposition 4.1 we know that there exists  $\epsilon \in G_2$ , an upper bound of  $\mathcal{M}_1$  (so  $\epsilon \geq \delta_j$  for each  $j \in J$ ), such that, for any  $F \in K[X_1, \dots, X_n]$  written as:

$$F = \sum_{(i_2, \dots, i_n) \in I} f_{i_2, \dots, i_n} \cdot (X_2 - X_1)^{i_2} \cdot \dots \cdot (X_n - X_1)^{i_n}, \text{ with } f_{i_2, \dots, i_n} \in K[X_1]$$

where  $I$  is a finite set of  $(n - 1)$ -uples of indices, we have:

$$\begin{aligned} w(F) &= \inf_{(i_2, \dots, i_n) \in I} (u_1(f_{i_2, \dots, i_n}) + (i_2 + \dots + i_n) \cdot \epsilon) = \\ &= \inf_{(i_2, \dots, i_n) \in I} \left( \sup_{j \in J} (u'_j(f_{i_2, \dots, i_n})) + (i_2 + \dots + i_n) \cdot \epsilon \right) = \\ &= \inf_{(i_2, \dots, i_n) \in I} \sup_{j \in J} (u'_j(f_{i_2, \dots, i_n}) + (i_2 + \dots + i_n) \cdot \epsilon). \end{aligned} \quad (5.3)$$

As  $u'_{j_1}$  is dominated by  $u'_{j_2}$  for any  $j_1 < j_2$ , the quantity in parenthesis forms an increasing sequence in  $G_w$ , so the infimum commutes with supremum and we may rewrite (5.3):

$$w(F) = \sup_{j \in J} \inf_{(i_2, \dots, i_n) \in I} (u'_j(f_{i_2, \dots, i_n}) + (i_2 + \dots + i_n) \cdot \epsilon).$$

For each  $j \in J$  let  $w_j$  be the extension of  $u'_j$  from  $K(X)$  to  $K(X_1, \dots, X_n)$  defined by:

$$\begin{aligned} w_j(F) &= \inf_{(i_2, \dots, i_n) \in I} (u'_j(f_{i_2, \dots, i_n}) + (i_2 + \dots + i_n) \cdot \epsilon). \\ &= \inf_{(i_1, \dots, i_n) \in I_j} (\bar{v}(f_{i_1, \dots, i_n}(a_j)) + i_1 \cdot \gamma_j + (i_2 + \dots + i_n) \cdot \epsilon) \end{aligned}$$

where  $\gamma_j$  is given by:

$$\gamma_j = \sum_{a' \in \bar{K}, g_j(a')=0} \inf(\delta_j, \bar{v}(a' - a_j)) = u'_j(g_j)$$

and the set  $I_j$  is defined as

$$I_j = \{(i_1, \dots, i_n) / (i_2, \dots, i_n) \in I \text{ and } 0 \leq i_1, (i_1 \cdot \deg g_j) \leq \deg f_{i_2, \dots, i_n}\}$$

since we wrote each  $f_{i_2, \dots, i_n}$  as

$$f_{i_2, \dots, i_n} = \sum_{i_1=0}^{k_{i_2, \dots, i_n, j}} f_{i_1, i_2, \dots, i_n} \cdot (g_j)^{i_1}, \text{ where } k_{i_2, \dots, i_n, j} = \left\lfloor \frac{\deg(f_{i_2, \dots, i_n})}{\deg(g_j)} \right\rfloor.$$

We obtained, thus, (5.2) for each  $w_j$  and, since  $\{u'_j\}_{j \in J}$  is an ordered system of r.t.-extensions that has  $u_1$  as limit, we conclude that  $\{w_j\}_{j \in J}$  is an ordered system of extensions of type **(I)** that verifies  $w = \sup_{j \in J} w_j$  and all the extensions in the ordered system have the same value for  $\epsilon$ .

□

The following table describes all the possibilities of definition for a symmetrically open extension of  $v$ , from  $K$  to  $K(X_1, \dots, X_n)$ , avoiding the complex issues with algebraic geometry and specifying the formulas for the valuation group, the residual field and the properties of the extension of each identified type.

#	Parameters	Valuation group	Residual field	Properties
1	$a, \delta$	$G_{v_{a,\delta}} + Z\gamma_{a,\delta}$	$k_{v_{a,\delta}}(\chi_1, \dots, \chi_n)$ $\chi_i = ((X_i - a)/b_\delta)^*$ $b_\delta \in \bar{K}, \bar{v}(b_\delta) = \delta$	r.t.s.-extension $\text{tr. deg}(k_w : k_v) = n$ $\text{freedeg } w = 0$
2	$a, \delta, \epsilon$ $\epsilon > \delta, \epsilon \in \mathbf{Q}G_v$	$G_{v_{a,\delta}} + Z\gamma_{a,\delta} + Z\epsilon$	$k_{v_{a,\delta}}(\chi_1, \psi_2, \dots, \psi_n)$ $\chi_1 = ((X_1 - a)/b_\delta)^*$ $b_\delta \in \bar{K}, \bar{v}(b_\delta) = \delta$ $\psi_i = ((X_i - X_1)/b_\epsilon)^*$ $b_\epsilon \in \bar{K}, \bar{v}(b_\epsilon) = \epsilon$	pure r.t.-extension $\text{tr. deg}(k_w : k_v) = n$ $\text{freedeg } w = 0$
3	$a, \delta, \epsilon$ $\epsilon > \delta, \epsilon \notin \mathbf{Q}G_v$	$G_{v_{a,\delta}} + Z\gamma_{a,\delta} + Z\epsilon$	$k_{v_{a,\delta}}(\chi_1, \psi_3, \dots, \psi_n)$ $\chi_1 = ((X_1 - a)/b_\delta)^*$ $b_\delta \in \bar{K}, \bar{v}(b_\delta) = \delta$ $\psi_i = ((X_i - X_1)/(X_2 - X_1))^*$	$\text{tr. deg}(k_w : k_v) = n - 1$ $\text{freedeg } w = 1$
4	$\{a_j\}_j, \{\delta_j\}_j, \epsilon$ $\epsilon > \delta_j, \epsilon \in \mathbf{Q}G_v$	$\bigcup_j (G_{v_{a_j, \delta_j}} + Z\gamma_{a_j, \delta_j} + Z\epsilon)$	$\left(\bigcup k_{v_{a_j, \delta_j}}\right)(\psi_2, \dots, \psi_n)$ $\psi_i = ((X_i - X_1)/b_\epsilon)^*$ $b_\epsilon \in \bar{K}, \bar{v}(b_\epsilon) = \epsilon$	the limit of a #2-sequence $\text{tr. deg}(k_w : k_v) = n - 1$ $\text{freedeg } w = 0$
5	$\{a_j\}_j, \{\delta_j\}_j, \epsilon$ $\epsilon > \delta_j, \epsilon \notin \mathbf{Q}G_v$	$\bigcup_j (G_{v_{a_j, \delta_j}} + Z\gamma_{a_j, \delta_j} + Z\epsilon)$	$\left(\bigcup k_{v_{a_j, \delta_j}}\right)(\psi_3, \dots, \psi_n)$ $\psi_i = ((X_i - X_1)/(X_2 - X_1))^*$	the limit of a #3-sequence $\text{tr. deg}(k_w : k_v) = n - 2$ $\text{freedeg } w = 0$
6	$a, \delta, \epsilon$ $\epsilon \geq \delta, \epsilon \in \mathbf{Q}G_1$ $G_1 = G_{v_{a,\delta}} + Z\gamma_{a,\delta}$	$G_{v_{a,\delta}} + Z\gamma_{a,\delta} + Z\epsilon$	$k_{v_{a,\delta}}(\psi_2, \dots, \psi_n)$ $\psi_i = ((X_i - X_1)/F_\delta)^*$ $F_\epsilon \in K[X_1], u_1(F_\epsilon) = \epsilon$	$\text{tr. deg}(k_w : k_v) = n - 1$ $\text{freedeg } w = 1$
7	$a, \delta, \epsilon$ $\epsilon \geq \delta, \epsilon \notin \mathbf{Q}G_1$ $G_1 = G_{v_{a,\delta}} + Z\gamma_{a,\delta}$	$G_{v_{a,\delta}} + Z\gamma_{a,\delta} + Z\epsilon$	$k_{v_{a,\delta}}(\psi_3, \dots, \psi_n)$ $\psi_i = ((X_i - X_1)/(X_2 - X_1))^*$	$\text{tr. deg}(k_w : k_v) = n - 2$ $\text{freedeg } w = 2$ $u_2$ -ultrasymmetric

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